

**IN THE UNITED STATES PATENT & TRADEMARKS OFFICE**

Serial no: 10/535,160

Filed: November 24, 2003

Inventors: Rex W. NEWKIRK, David D. MAENZ and Henry L. CLASSEN

Title: "FILTRATION OF VEGETABLE SLURRIES"

Attorney dkt: 101927/35

**INFORMATION DISCLOSURE STATEMENT**

- [X] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- [ ] 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Honourable Commissioner of Patents & Trademarks  
Washington, D.C. 20231  
U.S.A.

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 and 1.98:

- [X] 5 A list of documents on form PTO-1449. Copies of each identified document is submitted herewith.

This paper is submitted in accordance with:

- [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1<sup>st</sup> Office Action]

- [ ] 7        37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ ] 8        The required certification made in item 11 below; or
- [ ] 9        The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [ ] 10      37 CFR § 1.97(d): [before issue fee payment]; and
- (a)     This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"); and
- (b)     The required Certification is stated in item 11 below.
- [ ] 11      Certification
- [ ] 12      Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13      No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonably inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX] 14 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501-456. Two copies of this form are enclosed for use in connection with any Deposit Account activity.

Respectfully submitted,



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Dated: August 24, 2006

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**Substitute for form 1449/PTO**

**Complete if Known**

Application Number	10/535,160
Filing Date	November 24, 2003
First Named Inventor	Rex W. Newkirk
Art Unit	
Examiner Name	

Sheet 1 of 1

Attorney Docket Number 101927/35

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 933122	08/04/99	Roberts Jr.		
		WO 2004/047548	06/10/04	Newkirk, Rex		

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.